# IFEM: Environmental policy in times of climate change

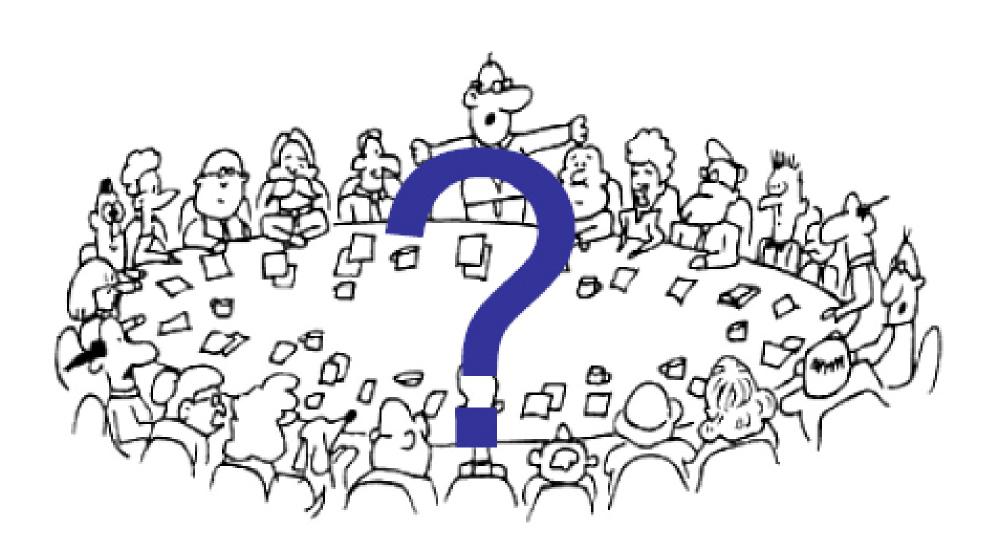
# **Environmental and Climate Governance**

Prof. Dr. Heike Walk et al. & Prof. Carsten Mann

Summer Term 2025, 24.-28.03.2025

# What are we doing here...



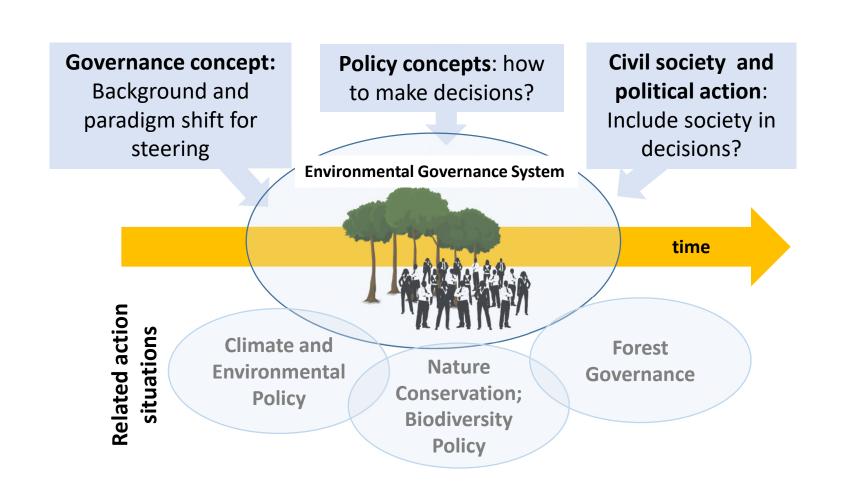


#### **Objectives of the Sub-module:**

- You understand and can explain environmental and climate governance systems
- You become familiar with governance concepts: What does governance mean and what environmental and climate governance concepts exist?
- You gain a deepened understanding of the different interests of state and non-state actors

#### Schedule for this week

| Day          | Time                           | Content  | source |
|--------------|--------------------------------|--|--------|
| Mon,<br>24.3 | 09:00 - 09:30<br>09:30 - 11:30 | Introduction to the course The conceptual foundations of Environmental policy and governance systems Key Milestones and governance structure of the Climate Change Regime  |        |
| Tue,<br>25.3 | 09:00 - 11:00<br>11:00 - 11:30 | Presentation of Presentation of key policy concepts The influence of political lobby groups  |        |
| Wed,<br>26.3 | 09:00 - 10:00<br>10:00 - 11:30 | Introduction to participatory governance and participation research<br>Theoretical concepts and practical approaches   |        |
| Thu,<br>27.3 | 09:00 - 11:30<br>11:30 - 16:30 | Excursion to the conference ENGAGED FOR CLIMATE PROTECTION - Climate protection as a civil society task, organized by the Federal Network for Civic Engagement (BBE) Venue: Refugio Berlin, Lenaustr. 3-4, 12047 Berlin Working Groups of the conference |        |
| Fri,<br>28.3 | 10:00 – 10:45<br>10:45 – 11:30 | Excursion to the "Haus der Demokratie und Menschenrechte" Presentation of the Independent Institute for Environmental Issues (UfU) Presentation of the "Deutsche Umwelthilfe" (Environmental Action Germany) Venue: Greifswalder Straße 4, 10405 Berlin  | HW     |



#### **Exam requirements: Report**

- a written elaboration of the PP-slide "Objectives of the Submodule"
- describe the different inputs of the lectures with regard to the objectives of the submodule
- summarize your understanding and learning from the submodule
- no more than 2-3 pages

#### **Objectives of the Sub-module:**

- You understand and can explain environmental and climate governance systems
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#### Questions that can help you with the elaboration:

- What are the characteristics of an environmental governance system (or regime)?
- How can you describe the structures, institutions and actors?
- Which different levels play a role in environmental policy and how can they be influenced?
- Can you briefly describe the policy concepts you learned about in the module and you find most convincible?
- Which role do protest and social movements play in societies?
- What role does climate philanthropy play in influencing international climate policies and climate social movements and protests?





# Part I:

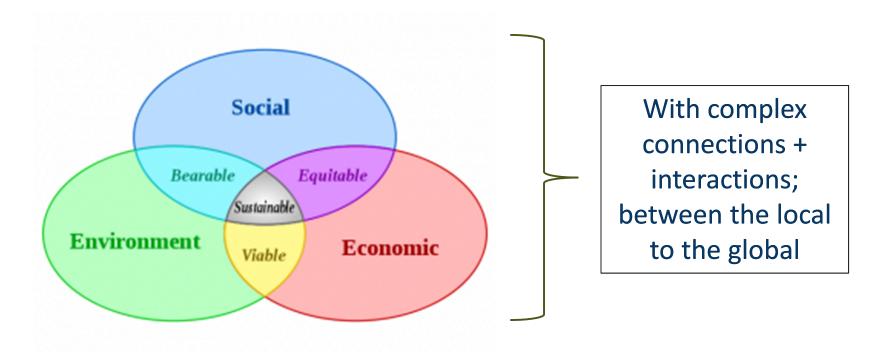
# Sustainable Development as point of departure

# **Sustainable Development as orientation**



#### Brundtland report "Our Common Future" (WCED 1987):

**Sustainable development** = Redirection of social development in ways that combine **economic wealth**, **environmental protection** and **social cohesion** 



Source: Wikimedia Commons

# Sustainable Development as orientation



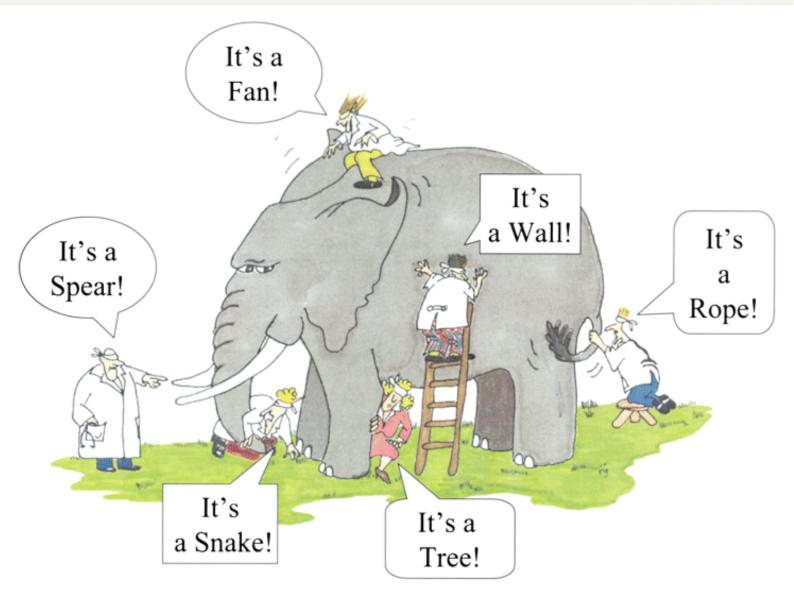
#### SD serves as a political and social orientation:

Development that meets the needs of *current generations* without compromising the needs of *future generations*.

- Normative: same or better possibilities?
- > Subjective: who needs what?
- > Ambiguous: needs differ among contexts!
- → No blueprint/one-fits-all solutions for sustainable development
- → But: SD needs to become part of a societal process in contexts!

# **Sustainable Development - Challenges**

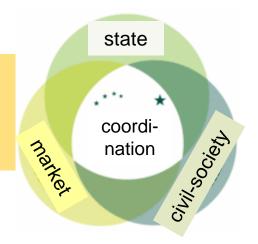




# **Sustainable Development - Challenges**



- > Different contexts: ecological, institutional, political, cultural
- > **Different actors**: ideas, values, norms, power
- > Complex systems: social-ecological; direct/indirect linkages
- > Long time scales: unknown, open-ended future!
- > Global dimension: environmental problems, North-South linkages, ...
- → Live with these challenges & work with them
- → Paradigm shift: government => governance



# **Sustainable Development - Challenges**

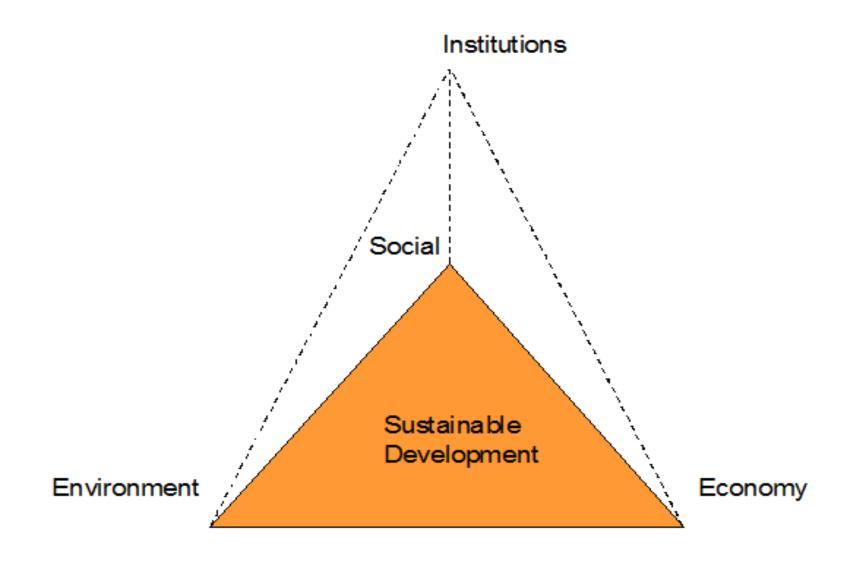


#### Sustainable development demands for governance innovations!

- Approaches must recognize and deal with these challenges
  - Context sensitive: particular balance values/interests/issues
  - Long term-oriented: anticipatory and dynamic
  - Societally embedded: accepted, carried!
- Sustainable governance is about participation & inclusion
- Process: joint discussion, negotiation, and reflexive learning!

# Sustainable Development - operationalisation





# Sustainable Development - operationalisation



#### Key features and components (e.g. Kemp, Parto and Gibson 2005):

- > Develop common visions, objectives and paths towards it
  - ❖ For particular localities; in a participatory & transparent way
- Work towards policy integration & political responsibility
  - Vertical + horizontal coordination of policies and actors; policy mixes
- Guidance by Information
  - Integrated, inter- and transdiciplinary knowledge; monitoring
- Fostered by innovations
  - Spaces for experiments; reflections & learning
  - Protection of amenities + creation of new/better services





# Part II:

# Introduction to environmental governance

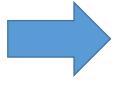
#### What is governance?



www.alamy.com - BCEN0.I

- the manner in which power is exercised (by governments, institutions, etc.)
- a shift of power upwards (EU, global), downwards (regional, local) and sideways (business, NGOs, civil society)

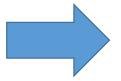
#### What is governance?



the interaction between governments, business stakeholders and non-profit organizations by which policy decisions and implementations are undertaken

#### The government...

- shares the decision and implementation processes with other actors
- transfers steering competencies to supra-national authorities, such as the United Nations or the European Union.
- recognizes sub-national (local and regional) governments



shift of power upwards, downwards and sideways

# Introduction to governance

#### level

int.

(Intergovernmental organisations): UN,

WTO, NATO

**IGOS** 

NGOS (Nongovernmental organisations): NABU Work/trade/ financing

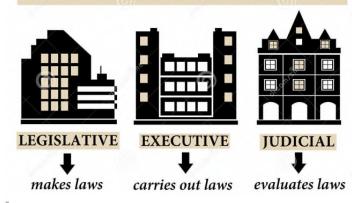
**Associations** 

Corporations Unions

(Non-profit For-profit)

Universities

**GOVERNMENT** 



Ministries/ Agencies

parties

- Private, self-governing, interest-oriented
- Exercise economic, political & social power



- public, elected, mandated
- Exercise political power

local

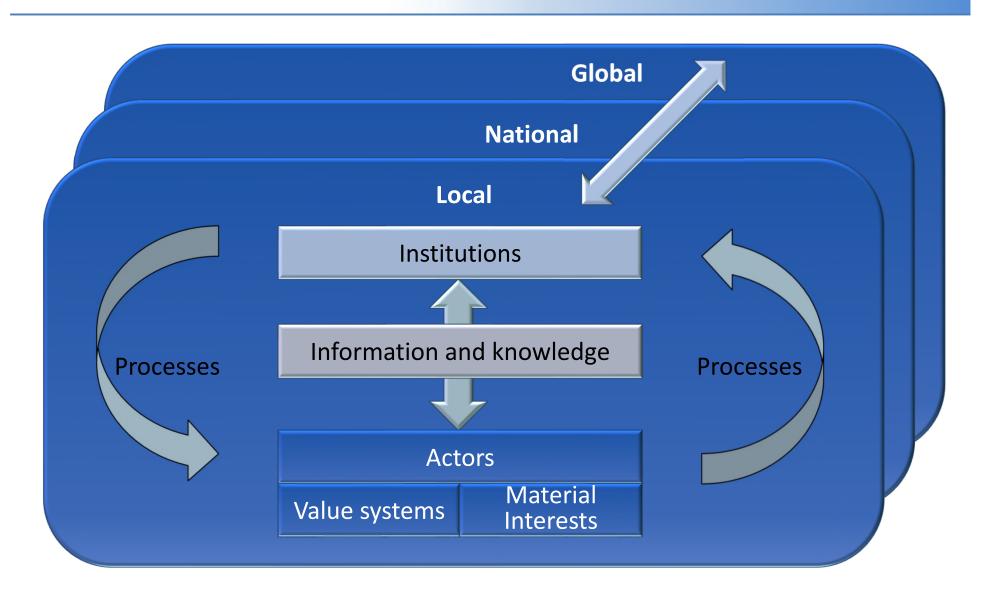
nat.

Non-state actors

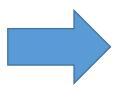
(non sovereign entities)

State actors

# Introduction to governance



#### **Environmental governance**



refers to a fundamental change in policy making in the 1990ies

#### The concept's origins...

#### **UN Conference on Environment and Development (UNCED)**

- hope for a new global world order that is no longer characterized by armament but by peace processes.
- 172 nations met in Rio de Janeiro at the United Nations Conference on Environment and Development (UNCED)
- seek solutions to issues such as poverty and growing environmental problems

#### Results of the UNCED

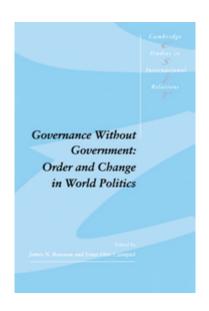
#### Not binding

- Agenda 21
- Rio Declaration
- Statement of Forest Principles

#### Legally binding conventions

- Framework Convention on Climate Change (UNFCCC)
- Convention on Biological Diversity

### Various focal points in governance research



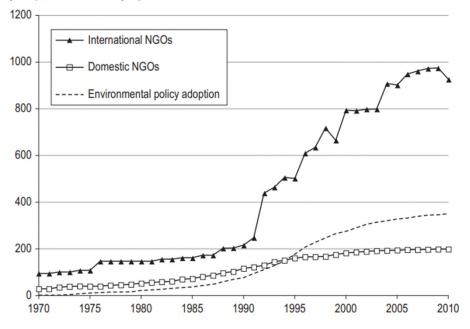




#### This change is characterized by...

- a broadening of the spectrum of actors
- an increasing importance of the levels
- 3) a broadening of steering modes.

Figure 3. Trends of international environmental NGOs, domestic environmental NGOs, and policy reform in developing countries, 1970–2010



Source:

# Introduction to governance

|   | Governance structures*                    |                                   |  |  |
|---|---|-----------------------------------|--|--|
|   | Hierarchy/<br>Bureaucracy                 | Market                            | Self-organised<br>network  |  |
| Coordination mechanisms* (institutions) | Hierarchical<br>(order / instruction)     | Non-hierarchical<br>(price)       | Non-hierarchical<br>(trust)  |  |
| Actors / organisations (orientation)    | Public<br>(common/welfare<br>orientation) | Private<br>(individual interests) | Intra-/trans-<br>governmental;<br>Private-Private;<br>Public-Private |  |

- → Concept: discuss role of government & others coping with public issues
- → Promises: flexible, productive (knowledge, support), trade-offs, strategic
- → Shift: centralized => distributed/shared responsibility (State remains!)

#### SUSTAINABILITY GOVERNANCE

A Blog by the Research group Governance, Participation & Sustainability at Leuphana University

Finding a definition



What is 'environmental governance'? A working definition

14. JUNE 2019 ~ JENS NEWIG

Recent Posts

Five challenges

paper and how

....the totality of interactions among societal actors aimed at coordinating, steering and regulating human access to, use of, and impacts on the environment, through collectively binding decisions. Environmental governance arrangements may be directed towards a range of causes – including conservation and environmental protection, spatial and land use planning, (sustainable) management of natural resources, and the protection of human health – and operate across scales to address local and global environmental problems.

# Identifying important elements of the definition of environmental governance

- interactions among societal actors not only the state but different actors such as communities, NGOs, business
- coordinating, steering and regulating
- human access to, use of, and impacts on the environment
- conservation and environmental protection
- planning and management of natural resources
- operation across scales (levels)

# SUSTAINABILITY GOVERNANCE A Blog by the Research group Governance, Participation & Sustainability at Leuphana University HOME OUR RESEARCH GROUP PEOPLE PROJECTS PUBLICATIONS JOBS LINKS CONTAC What is 'environmental governance'? A Recent Posts Working definition Five challenges of





# Part III:

# Exercise - Milestones of the global climate governance system

#### Milestones of the global climate governance system



**IPCC** 

Climate Action Network (CAN)

1989

distribution and

**UNFCCC** was

signed

1992



Change secretariat located

**UN Climate** 

in Bonn

1996



**Kyoto-**Protocol

enters into force

2005

COP4, COP 5, 6,7 .....



**Develop**ment

Mechanism opens

2006



**Fridays** For

**Future** 

2018

1990

First IPCC-Report



1995

**WBCD** 

COP 1

in Berlin

1997

COP 3 in Kyoto

Kyoto Protocol Adopted

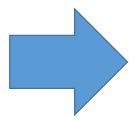
2015

**COP 21** 

in Paris



#### Work task



- choose an event of the timeline
- do a little research
- you have 30 minutes

#### Reading

• Lemos, M.C. & Agrawal, A. (2006). Environmental Governance. Annu. Rev. Environ. Resour., 11, 297-325.

#### Literature:

Bache, I. & Flinders, M., Eds., (2004). Multi-Level Governance, Oxford: Oxford University Press,

Gupta, J. (2015): The history of global climate governance, Cambridge, Cambridge University Press

Pattberg, P. & Widerberg, O. (2017): The Climate Change Regime, in Oxford Research Encyclopedia of Climate Science, Oxford: Oxford University, DOI: 10.1093/acrefore/9780190228620.013.46Rosenau, J. N. & Czempiel, E.O., Eds. (1992). Governance without Government: Order and Change in World Politics. Cambridge: Cambridge University Press

Longhofer, W., Schofer, E., Miric, N., Frank, D. J. (2010). NGOs, INGOs, and Environmental Policy Reform, 1970–2010, Social Forces, Volume 94, Issue 4, June 2016, Pages 1743–1768, https://doi.org/10.1093/sf/sow031

Walk, H. (2008). Partizipative Governance. Beteiligungsformen und Beteiligungsrechte im Merhebenensystem der Klimapolitik, Wiesbaden: Springer Fachmedien